

Early Childhood Personnel Center

Workforce Development in ECI

November 16, 2019

AUCD Preconference

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University of Connecticut



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Where Have We Been?

50 years and counting.....



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Historical Foundations of Personnel Development Practices: HCEEP and IDEA

- HCEEP
- Part C
- Part B
- Part D



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Growing As a Field.....

- More Children To Be Served with more Developmental Complexity/Risk Factors
- More Family Complexities and Concerns
- Growing Workforce, yet Shortages
- Changing Service Delivery Models Driven by Cost/Reimbursement Models/Funding Streams
- Challenges to Preservice Programs
- Inconsistent and Episodic Inservice Based on.....?
- A Growing Science about Learning That Can't be Ignored



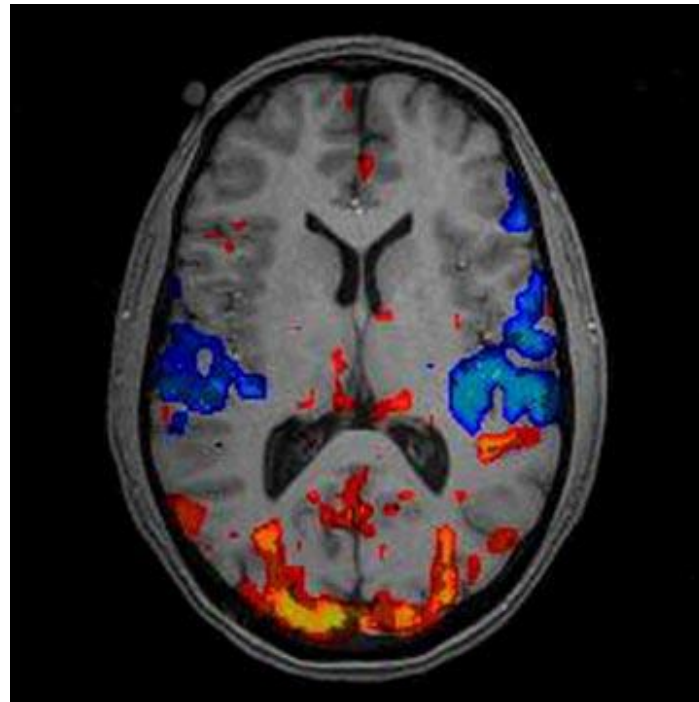
Where Are We Now?



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The Science of Learning



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What We Know

The brain is adaptable and can be influenced by positive experiences;

The brain is vulnerable and can be harmed by negative experiences



Personnel Can Have a Powerful
Impact....

or NOT



“My question is: Are we making an impact?”

Qualified Personnel Identified in IDEA

Part C (ages 0-3)

- [\(1\)](#) Audiologists.
- [\(2\)](#) Family therapists.
- [\(3\)](#) Nurses.
- [\(4\)](#) Occupational therapists.
- [\(5\)](#) Orientation and mobility specialists.
- [\(6\)](#) Pediatricians and other physicians for diagnostic and evaluation purposes.
- [\(7\)](#) Physical therapists.
- [\(8\)](#) Psychologists.
- [\(9\)](#) Registered dietitians.
- [\(10\)](#) Social workers.
- [\(11\)](#) Special educators, including teachers of children with hearing impairments (including deafness) and teachers of children with visual impairments (including blindness).
- [\(12\)](#) Speech and language pathologists.
- [\(13\)](#) Vision specialists, including ophthalmologists and optometrists.

Part B (ages 3-5)

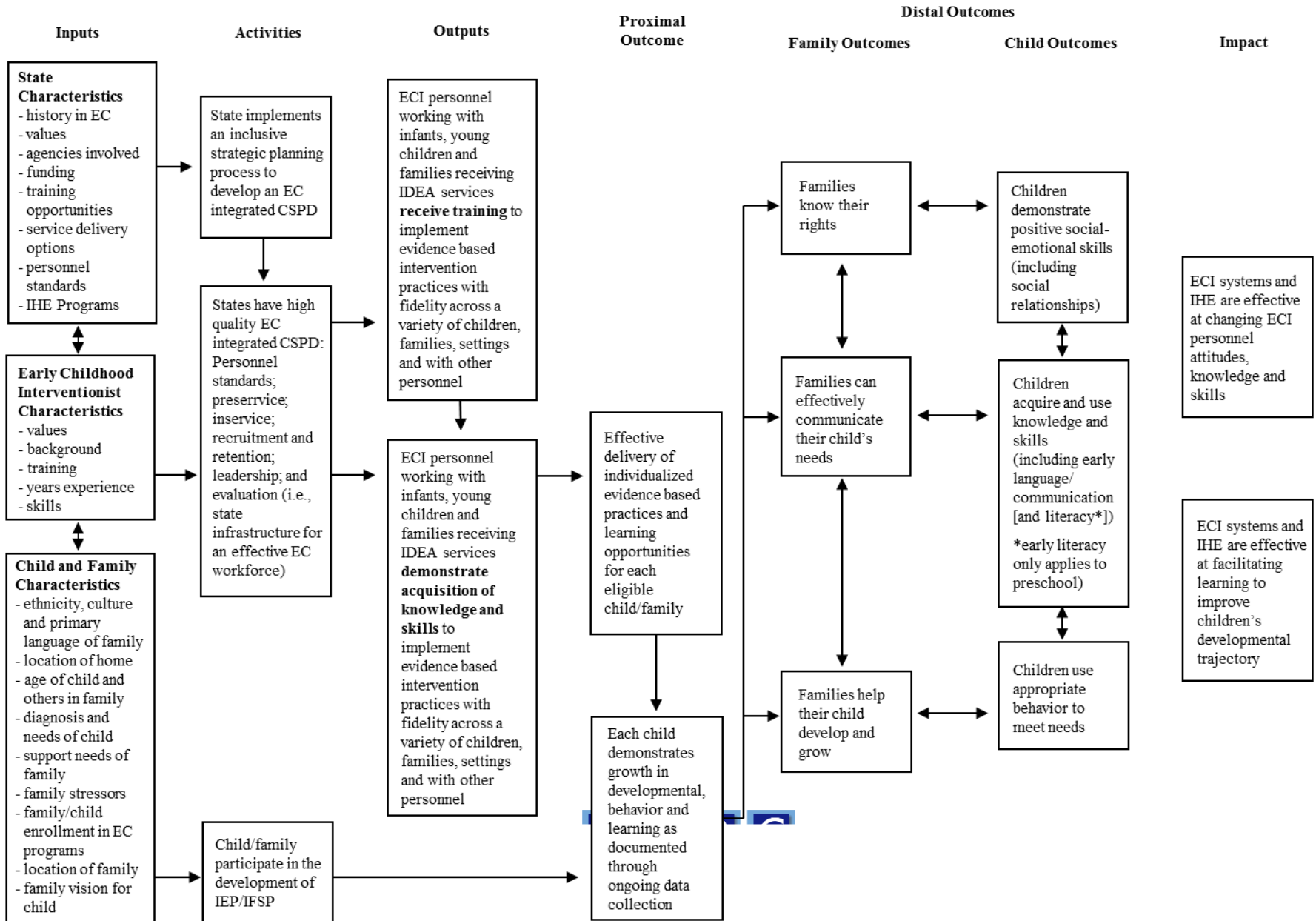
- 1) Special Education;
- 2) Related Service Personnel:
 - 1) Speech-Language Pathologists and Audiologists;
 - 2) Occupational Therapists;
 - 3) Psychologists;
 - 4) Physical Therapists;
 - 5) Recreational Therapists;
 - 6) Social Workers;
 - 7) Counseling services;
 - 8) Orientation and Mobility Specialists, and
 - 9) Pediatricians and other Physicians, except that such medical services shall be for diagnostic and evaluation purposes only

PERSONNEL RECOMMENDED PRACTICES & STANDARD AREAS

DEC Recommended Practices	CEC/DEC Initial Standards	NAEYC Initial Standards
Leadership	Learner Development & Individual Learning Differences	Promoting Child Development & Learning
Assessment	Learning Environments	Building Family & Community Relationships
Environment	Curricular Content Knowledge	Observing, Documenting, & Assessing to Support Young Children & Families
Family	Assessment	Using Developmentally Effective Approaches
Instruction	Instructional Planning & Strategies	Using Content Knowledge to Build Meaningful Curriculum
Interaction	Professional Learning & Ethical Practice	Becoming a Professional
Teaming & Collaboration	Collaboration	Early Childhood Field Experiences
Transition		



Personnel Development Logic Model



IF

Child and Family Outcomes are
the DEPENDANT Variables.....
Personnel are the.....

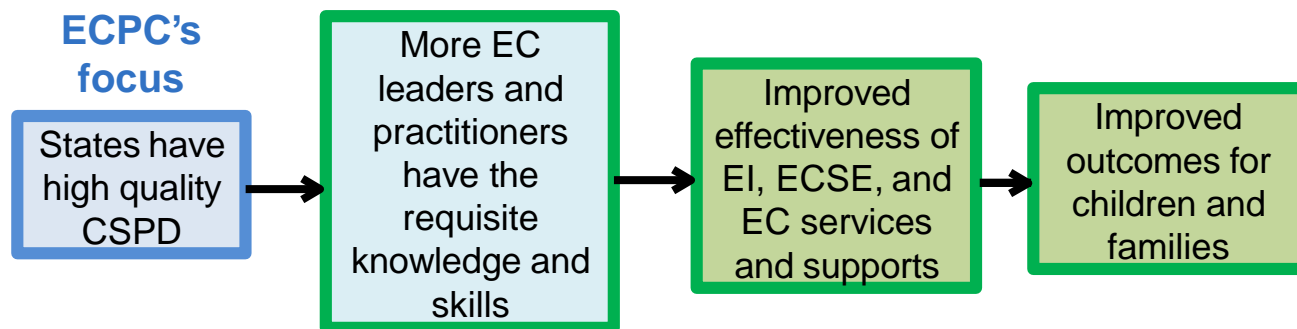
- Independent Variable
- Mediator
- Moderator
- All of the Above



Personnel Can Have a Powerful
Impact....

or NOT

If we want improved outcomes for infants and young children with disabilities and their families, then.....



Theory of Action

How improved CSPD leads to improved outcomes



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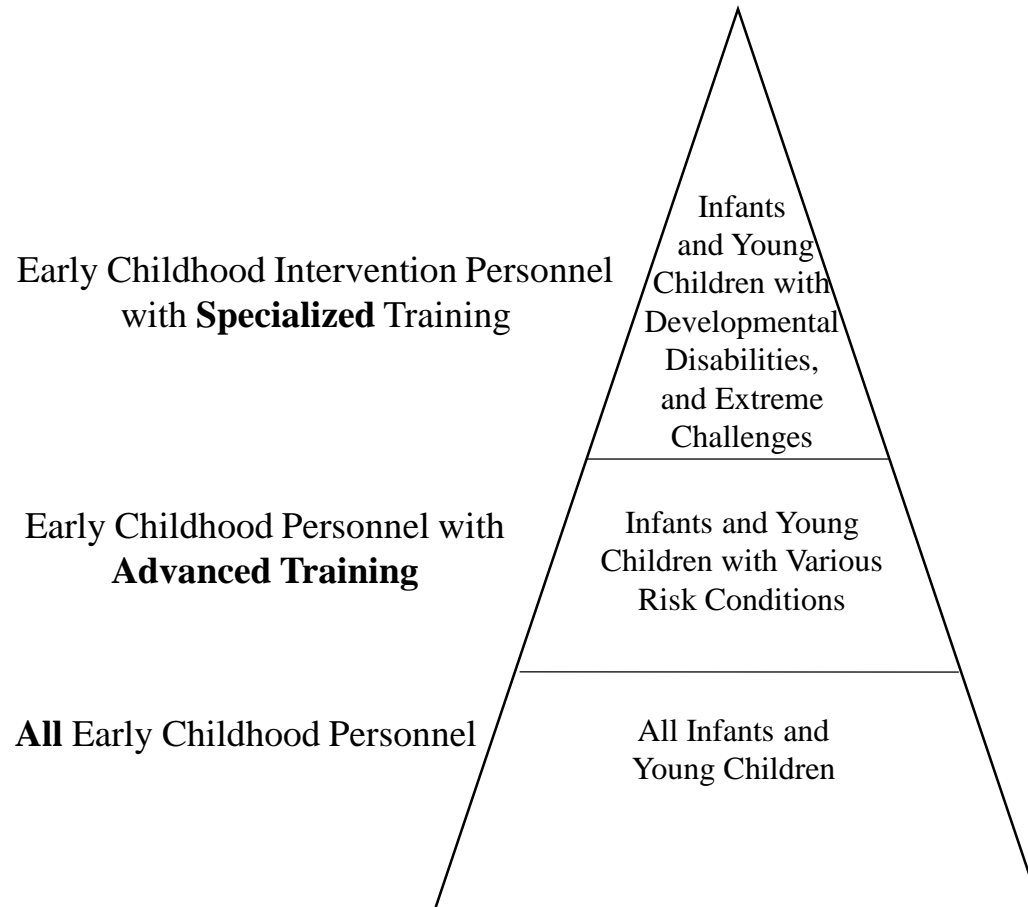
To provide ***Technical Assistance***
to facilitate the implementation of
Comprehensive Systems
of Personnel Development (CSPD)
for **all** disciplines
serving infants and young children
with disabilities and their families



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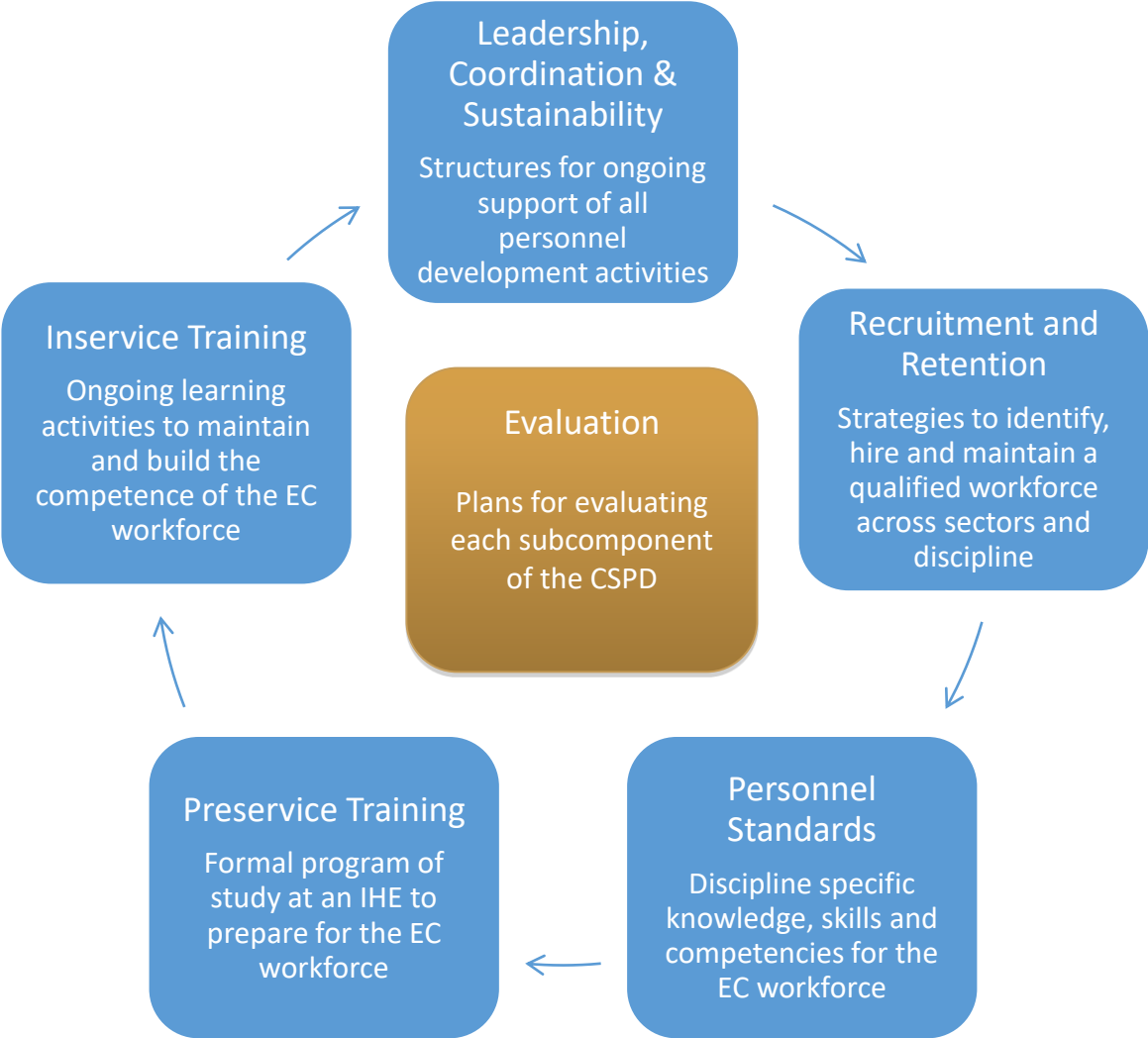
Continuum of Early Childhood Personnel Competence



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COMPREHENSIVE SYSTEM OF PERSONNEL DEVELOPMENT



Definition of Systems

a regularly interacting
or interdependent group
of items or things or principles
forming a unified whole



Systems Think

To Build a Competent
and
Effective ECI Workforce



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A Comprehensive System of
Personal Development
is a *necessary and integral*
quality indicator of
an early childhood service system
AND
the early childhood workforce
who serve infants, toddlers and preschool
children with disabilities and their families



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Status of State EC CSPDs



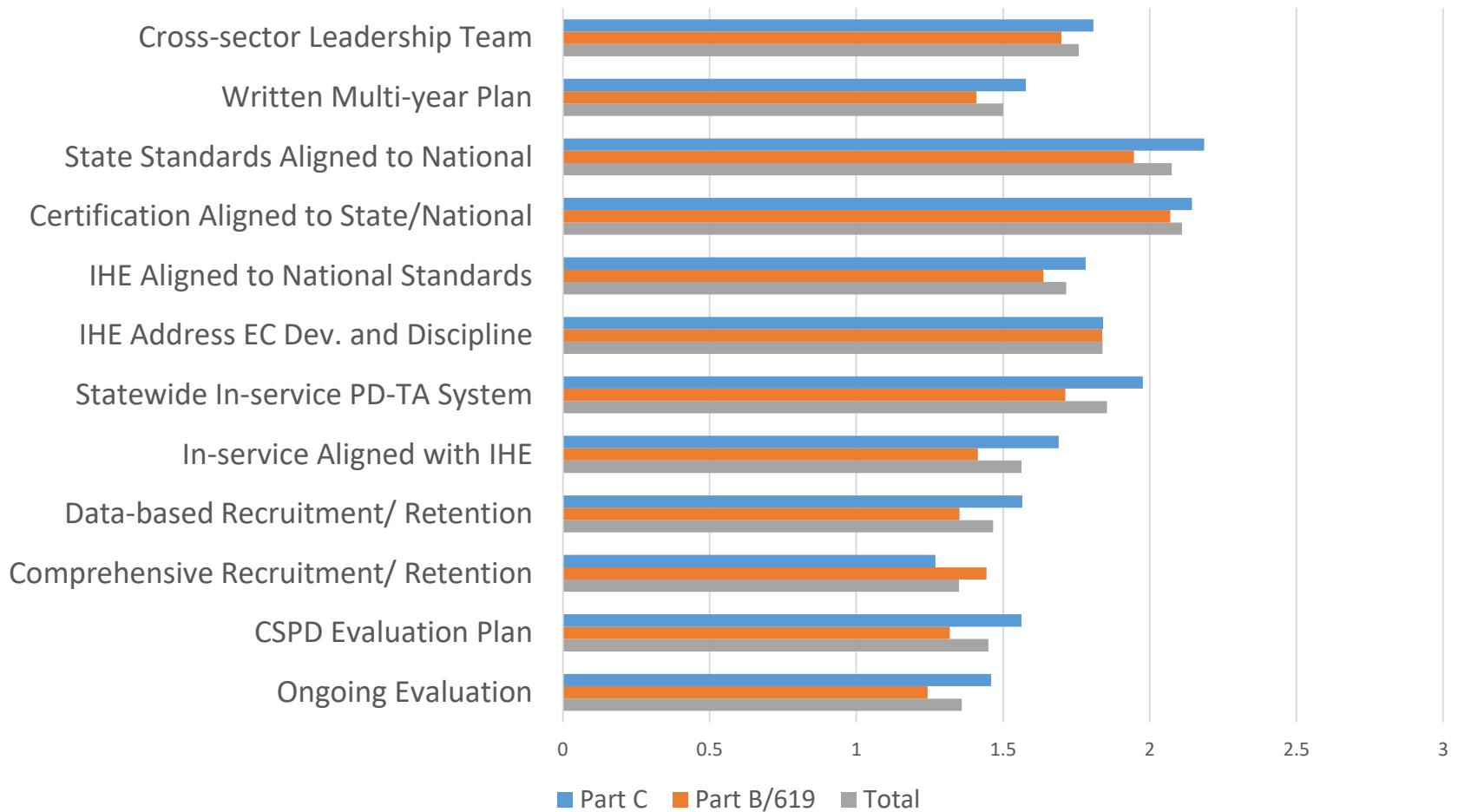
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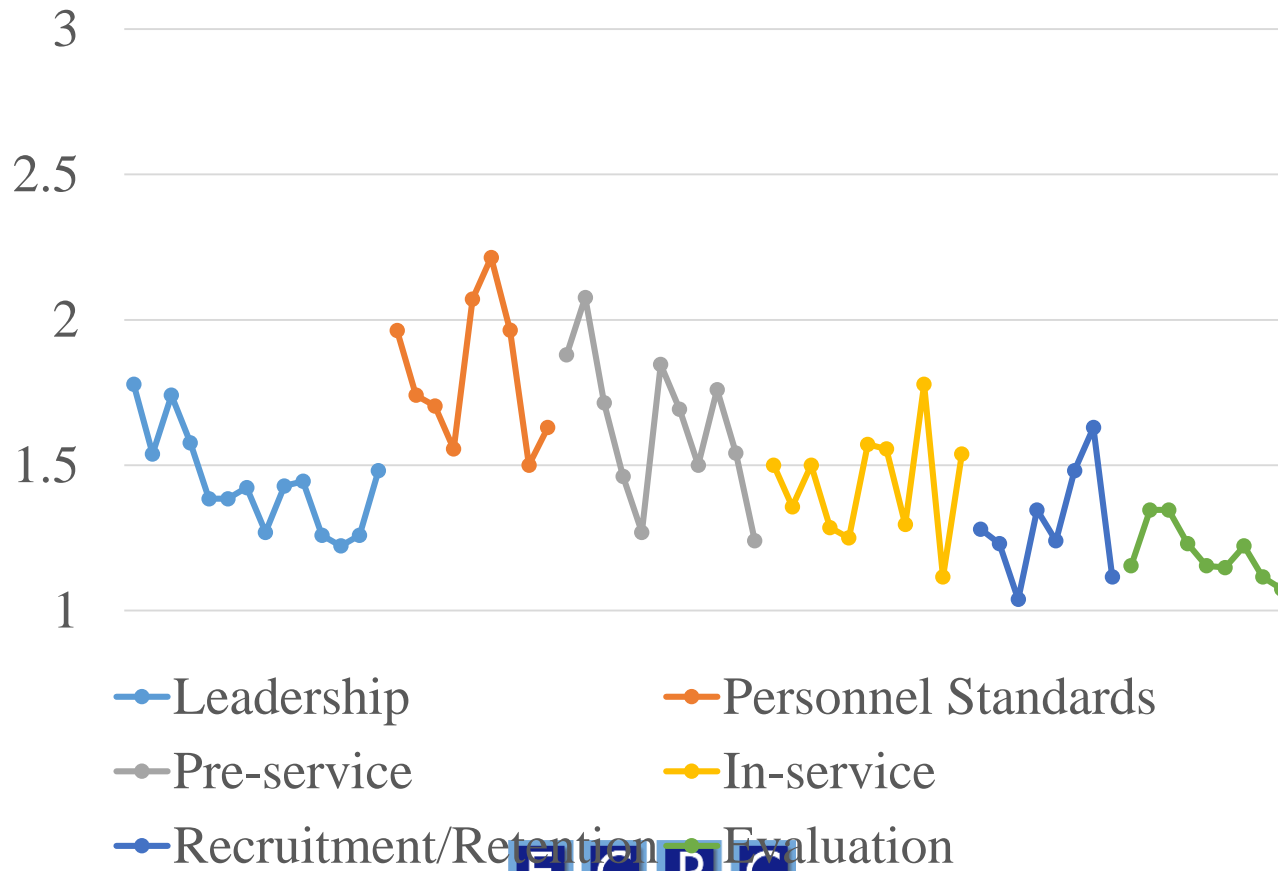
Comprehensive System of Personnel Development

<p>Leadership, Coordination, & Sustainability</p>	<p>Quality Indicator 1: A cross sector leadership team is in place that can set priorities and make policy, governance, and financial decisions.</p> <p>Quality Indicator 2: There is a written multi-year plan in place to address all sub-components of the CSPD.</p>
<p>State Personnel Standards</p>	<p>Quality Indicator 3: State personnel standards across disciplines are aligned to national professional organization personnel standards.</p> <p>Quality Indicator 4: The criteria for state certification, licensure, credentialing and/or endorsement are aligned to state personnel standards and national professional organization personnel standards across disciplines.</p>
<p>Pre-service Personnel Development</p>	<p>Quality Indicator 5: Institution of higher education (IHE) programs and curricula across disciplines are aligned with both national professional organization personnel standards and state personnel standards.</p> <p>Quality Indicator 6: Institution of higher education programs and curricula address early childhood development and discipline specific pedagogy.</p>
<p>In-service Personnel Development</p>	<p>Quality Indicator 7: A statewide system for in-service personnel development and technical assistance is in place for personnel across disciplines</p> <p>Quality Indicator 8: A statewide system for in-service personnel development and technical assistance is aligned and coordinated with higher education program and curricula across disciplines</p>
<p>Recruitment and Retention</p>	<p>Quality Indicator 9: Comprehensive recruitment and retention strategies are based on multiple data sources, and revised as necessary.</p> <p>Quality Indicator 10: Comprehensive recruitment and retention strategies are being implemented across disciplines.</p>
<p>Evaluation of the System</p>	<p>Quality Indicator 11: The evaluation plan for the CSPD includes processes and mechanisms to collect, store, and analyze data across all subcomponents</p> <p>Quality Indicator 12: The evaluation plan is implemented, continuously monitored, and revised as necessary based on multiple data sources</p>

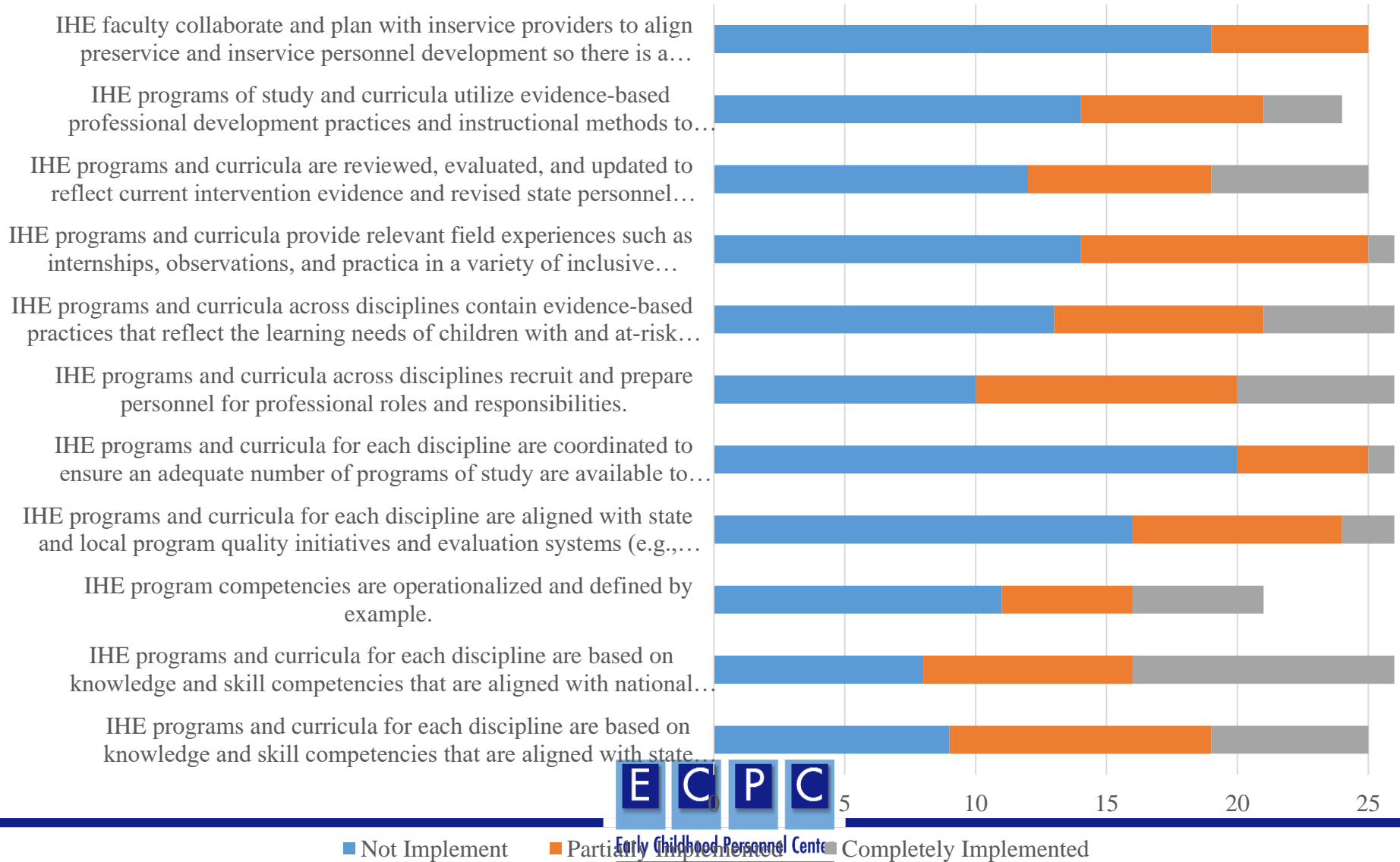
Average Quality Indicator Score by System Type



CSPD Baseline Average Ratings Across All States by Item



Baseline Ratings for Pre-Service Items



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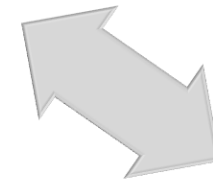
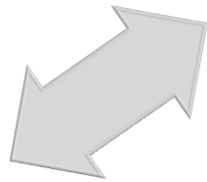
To provide ***Technical Assistance***
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Identify and Develop
Knowledge



Develop or Identify Materials,
Resources and Tools for the
Early Childhood Workforce

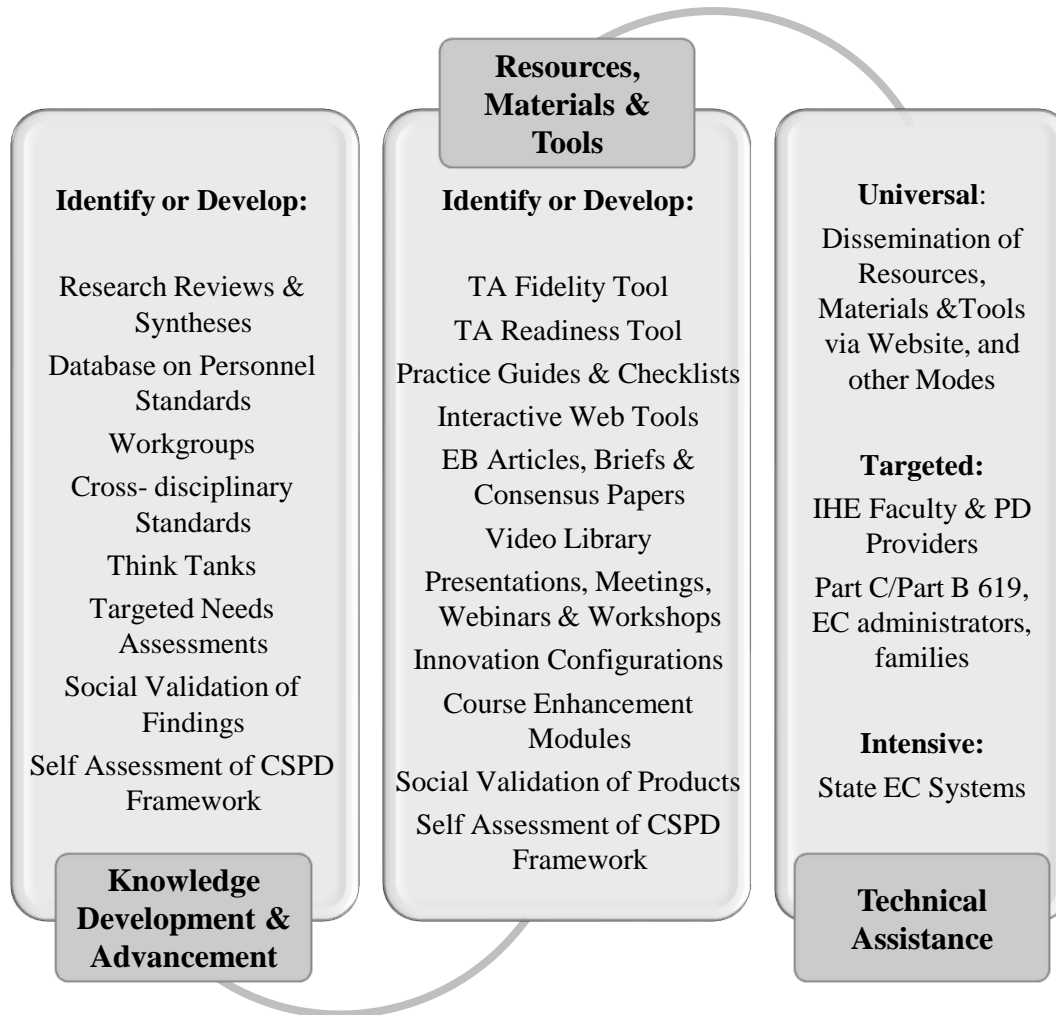


Provide TA to Specific
Populations and State Early
Childhood Systems



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To Increase the Knowledge, Skills and Competencies Of Those Serving infants and Young Children with Disabilities and their Families

Universal TA for All

Web Site

Materials,
Resources
and Tools

Targeted TA to Build Leadership to Specific Populations

State IDEA Part C
and 619, and EC Staff

IHE Faculty, Students,
and State PD
Providers

Intensive TA for State CSPD Development and Implementation

Reboot in
Previous States

Develop and
Implement in
New States



PHASE ONE Exploration

Develop core planning team and project liaison

Complete the readiness tool for ECPC; the TA planning tool and the self-assessment of the CSPD framework

Decide if ECPC intensive TA is a match for state needs

Identify stakeholders for strategic planning team

PHASE TWO Installation

Identify a date and location for strategic planning

Invite stakeholders to be part of strategic CSPD team

Facilitate a 1-2 day meeting to develop the state CSPD vision, mission, and work plans for work groups for each subcomponent of the CSPD

Establish meeting and reporting schedule for work groups and large strategic planning group

PHASE THREE Implementation

Implement work plans for each CSPD sub component workgroup

Develop monthly reports on each CSPD workgroup's progress, to distribute across all groups

Meet monthly as a core planning team to review work group progress and give feedback and assistance

Meet with the CSPD strategic planning group quarterly to review progress and adjust work plans

PHASE FOUR Standardization

Prepare integrated CSPD report of process and implementation plan

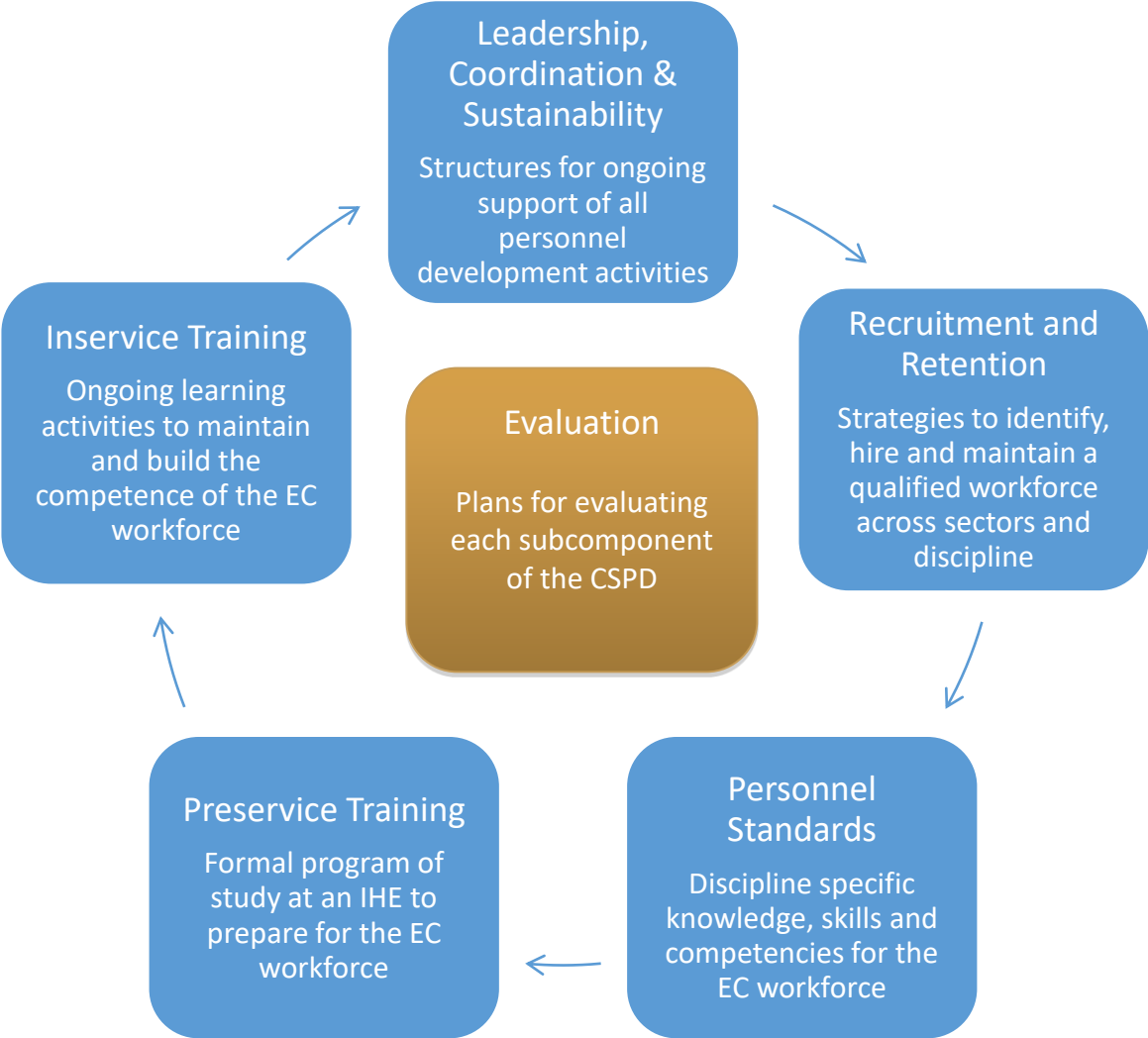
Implement all subcomponent activities

Evaluate all CSPD activities and modify as needed

Revise CSPD and plan for sustainability



COMPREHENSIVE SYSTEM OF PERSONNEL DEVELOPMENT

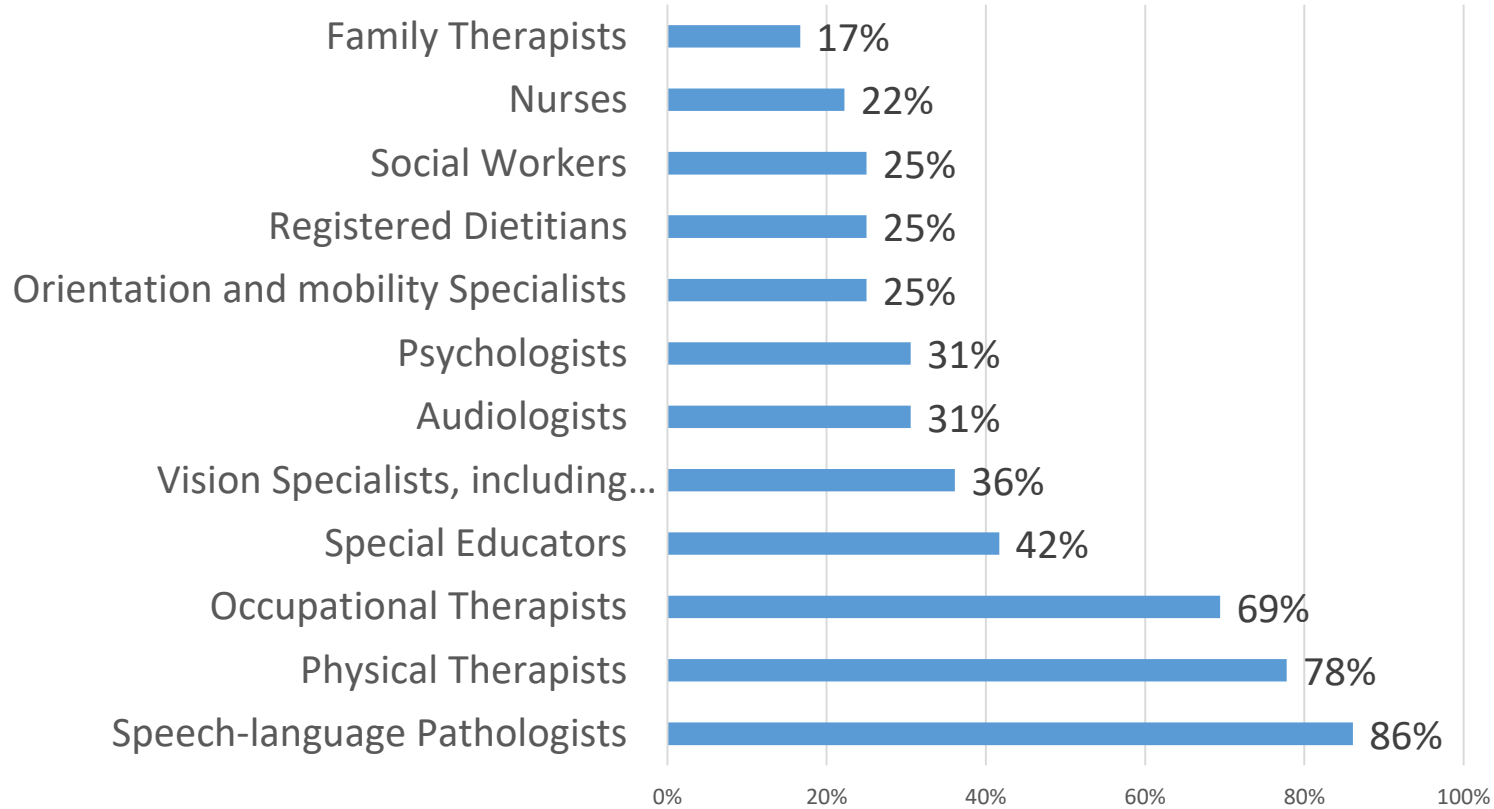


Recruitment and Retention



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*Data- 2018 Tipping Points- IDEA Infant and Toddler Coordinators Association



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Standards

- Alignments Across Disciplines
- Alignments for Teachers
- Alignments of State with National



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National Data Base of Personnel Standards

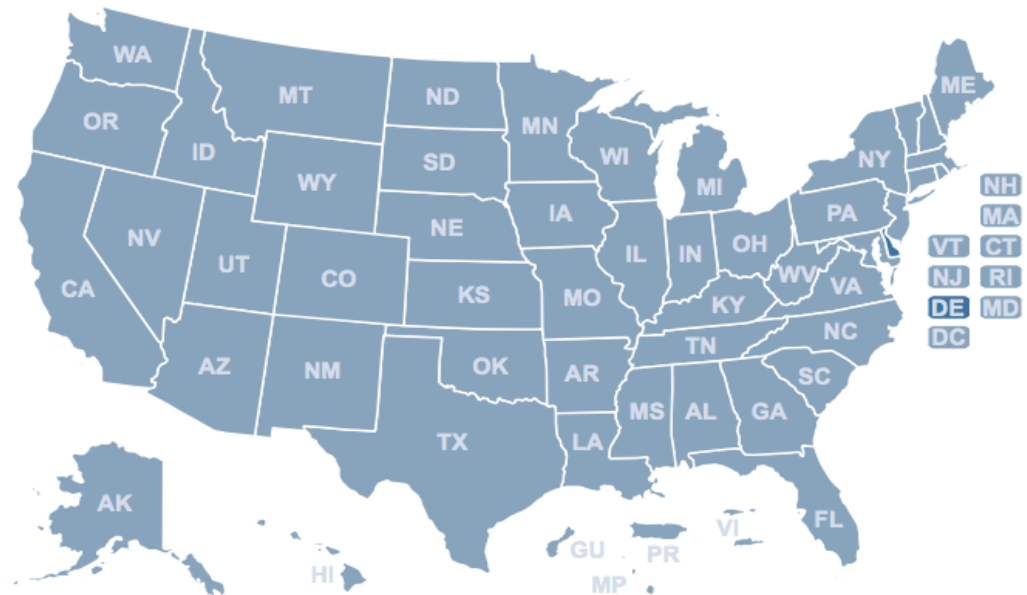
- 13 disciplines
- 20 variables
- A two-step procedure was implemented:
 - Step 1: Internet-based data collection (with inter-rater reliability)
 - Step 2: Telephone interview for verification.
- Analysis: Frequency count and percentage
- Findings:
 - Each state dramatically varied in personnel standards.
 - Related service disciplines had less variance.
 - Less than 1/3 of the states specified additional requirements for working in Part C.

PERSONNEL STANDARDS RESOURCE

Licensing, and certification of personnel who provide services to infants and young children with disabilities and their families

Select Which State(s) to Search.

If you don't have a preference, select "Any State".
Once you've made your selections, click "Continue"



LIST PROGRAMS



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Definitions

Standard: What you must know and be able to do (knowledge and skills)

Competency: an ability or skill that can be measured

Practice: the action of doing something

Indicator: a rule for the measure of quality; a sign that shows the condition or existence of something

ALIGNMENTS PROVIDE GUIDANCE FOR:

- Development of CAEP/state accreditation documents
- Review of CAEP/state program documents
- Development, modification, implementation and evaluation of IHE programs and PD systems
- Development, implementation, and evaluation of inclusive clinical experiences
- Articulation across 2 and 4-year programs
- Development of state certification policies



Family Centered Practices

Culturally competent practice in natural settings that involves and actively engages the family in their child's assessment, goal setting, decision-making and the provision of resources/supports/interventions/services to them.



Example

Family Centered Practice: *Family Involvement in Assessment*

Organization	Personnel Standard
APTA	Evaluate family strengths, resources, concerns, and priorities: a) conduct family interview; b) select and administer supplemental family surveys
ASHA	Skills (ability): to interview families in family friendly, culturally competent manner to obtain background history
CEC	Beginning special education professionals in collaboration with colleagues and families use multiple types of assessment information in making decisions about individuals with exceptionalities
DEC	Integrate family priorities and concerns in the assessment process
NAEYC	Knowing about assessment partnerships with families and with professional colleagues
ZTT	Assesses family strengths and risk factors and connects the family to appropriate resources to both enhance the family's ability to build on their strengths and protect children and family members from risks



Coordination and Collaboration

The alignment of early childhood intervention services, resources and interventions across personnel and agencies to support seamless service delivery for infants and young children with disabilities and their families.



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Example

Coordination & Collaboration: *General Teaming*

Organization	Personnel Standard
AOTA	An occupational therapy practitioner is an integral member of the interdisciplinary collaborative health care team. He or she consults with team and family members to ensure the client-centeredness of evaluation and intervention practices
APTA	Supervise personnel and professional students: a) monitor the implementation of therapy recommendations by other team members; b) establish a student clinical affiliation; c) formally and informally teach/train therapy staff
ASHA	Skills in implementing strategies to function as an effective member of an interdisciplinary programming team



Example (continued)

Coordination & Collaboration: *General Teaming*

Organization	Personnel Standard
CEC	Beginning special education professionals use collaboration to promote the well-being of individuals with exceptionalities across a wide range of settings and collaborators.
DEC	Collaborate with caregivers, professionals, and agencies to support children's development and learning
ZTT	Collaborates with other service providers and provides information, guidance, and support to assist families who are caring for a child with special needs



Evidenced Based Practice

The use of scientifically based evidence to inform all screening, assessment, intervention and evaluation delivered to an individual child and family, and collection and use of individual data to document a child and family's need for intervention, the design and implementation of an intervention plan (e.g. IEP, IFSP), and the outcomes achieved as a result of the interventions.



Example

Intervention/Instruction: *Assessment*

Organization	Personnel Standard
AOTA	An occupational therapist is responsible for all aspects of the screening, evaluation, and re-evaluation process
APTA	Use valid, reliable, and nondiscriminatory examination instruments and procedures for: a) identification and eligibility, b) diagnostic evaluation, c) individual program planning, d) documentation of child progress, family outcomes, and program impact
ASHA	Knowledge of methods of evaluation and assessment appropriate for the birth-to-3 population (including interview, parent report, observational, and criterion-referenced tools)



Example (continued)

Intervention/Instruction: *Assessment*

Organization	Personnel Standard
CEC	Beginning special education professionals use multiple methods of assessment and data-sources in making educational decisions.
DEC	Alignment of assessment with curriculum, content standards, and local, state, and federal regulations
NAEYC	Understanding the goals, benefits, and uses of assessment
ZTT	When available, uses evidenced-based screening, observation, and assessment tools and strategies to inform planning and provision of appropriate services for the unique needs of each individual child, including children with special needs and dual language learners



Professionalism and Ethics

All who provide early childhood intervention will have knowledge and skills in the laws, policies, and practices that govern their professional discipline,

and demonstrate professional ethics and advocacy for each infant, young child and family with whom they work,

and take responsibility to improve their knowledge and skills through professional development and self-reflection.



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Example (continued)

Professionalism: *Advocacy/Public Awareness*

Organization	Personnel Standard
CEC	Beginning special education professionals advance the profession by engaging in activities such as advocacy and mentoring.
DEC	Advocacy for professional status and working conditions for those who serve infants and young children, and their families
NAEYC	Engaging in informed advocacy for children and the profession
ZTT	Understands and takes a leadership role in advocating for families and young children with special needs at the programmatic, local, state, and federal levels



Source Documents

AOTA	American Occupational Therapy Association. (2010). Standards of practice for occupational therapy. American Journal of Occupational Therapy, 64(6), S106-S111.
AOTA	American Occupational Therapy Association. (2013). Occupational Therapy Practice Guidelines for Early Childhood: Birth Through 5 Years. Bethesda, MD: AOTA Press.
AOTA	American Occupational Therapy Association. (2011). Occupational Therapy Services in Early Childhood and School-Based Settings
APTA	Chiarello, L., & Effgen, S.K. (2006). Updated competencies for physical therapists working in early intervention. Pediatric Physical Therapy, 18(2), 148-67.
APTA	American Physical Therapy Association. (2009). Minimum Required Skills of Physical Therapist Graduates at Entry-Level. BOD G11-05-20-49. Available from http://www.apta.org/Educators/Clinical/EducationResources/
APTA	Commission on Accreditation in Physical Therapy Education. (2015). Standards and Required Elements for Accreditation of Physical Therapist Education Programs. Available from http://www.capteonline.org/AccreditationHandbook/

Source Documents (continued)

ASHA	American Speech-Language-Hearing Association. (2008). Core knowledge and skills in early intervention speech-language pathology practice [Knowledge and Skills]. Available from www.asha.org/policy
ASHA	American Speech-Language Hearing Association. (2006). Roles, knowledge, and skills: audiologists providing clinical services to infants and young children birth to 5 years of age [Knowledge and Skills]. Available from www.asha.org/policy .
CEC	Council for Exceptional Children. (2014). Initial Preparation Standards. Available from https://www.cec.sped.org/Standards/Special-Educator-Professional-Preparation-Standards
DEC	Division for Early Childhood. (2014). Initial Specialty Set: Early Childhood Special Education/Early Intervention. Available from http://www.dec-sp.org/About DEC/Personnel Standards for Early Intervention and Early Childhood Special Education
NAEYC	NAEYC. (2009). Standards for early childhood professional preparation. Available from http://www.naeyc.org/files/naeyc/file/positions/ProfPrepStandards09.pdf
ZTT	Dean, A., LeMonie, S., & Mayoral, M. (2016). ZERO TO THREE Critical competencies for infant-toddler educators. Washington, DC: ZERO TO THREE.
ZTT	ZERO TO THREE. (2005). Cross-Sector Core Competencies for the Prenatal to Age 5 Field. Los Angeles: Author.



Preservice

Professional IHE Accreditation Standards

State Certifications/Licensure

Competencies

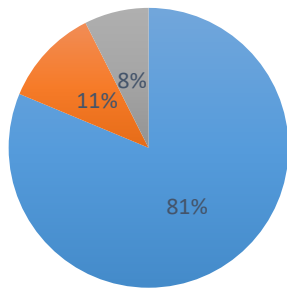
ECE/ECSE Higher Education Program Data Collected

- University
- School/College
- Department
- Program
 - ECE/ECSE/Blended
- Specialty
- Age Range
- Degree/Specific Degree
- Online
 - Yes/No/
 - Online Option/Hybrid
- Teacher Certification
 - Yes/No
- Accreditation
- Link
- Contact Information



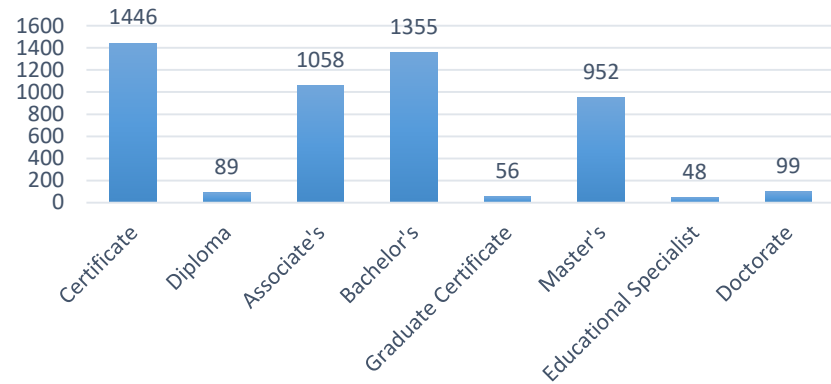
ECE/ECSE Higher Education Programs Results (N=5,199)

Program Type

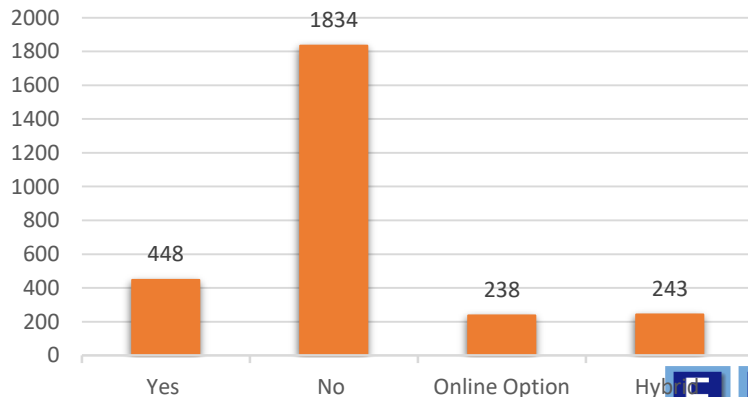


■ ECE (n=4226) ■ ECSE (n=586) ■ BLEND (n=387)

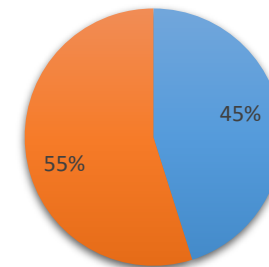
Program Degree



Program Format



Teacher Certification Program



■ Yes (n=1446) ■ No (n=1759)

Inservice

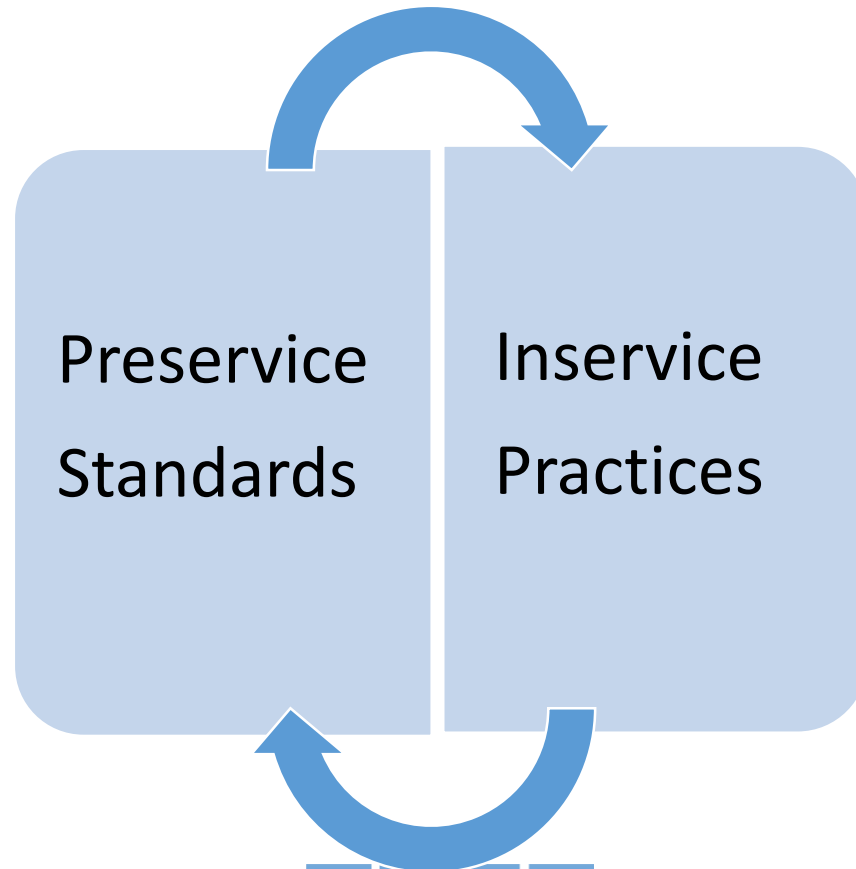
- Practices
- Competencies



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Preservice and Inservice Systems MUST Align



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Effective Training

1. The explicit explanations and illustrations of content or practice to be learned
2. Active and authentic job-embedded opportunities to learn the new practice
3. Performance feedback on the implementation of the practice
4. Opportunities for reflective understanding and self-monitoring of the practice implementation
5. Ongoing follow-up supports
6. Sufficient duration and intensity of training to provide multiple opportunities to become proficient in the use of a practice

Leadership, Coordination and Sustainability



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Leadership is.....

Leadership as used here (DEC Position Paper) is defined as the proactive process of influencing others “to act for certain goals that represent the values and motivations—the wants and needs, aspirations and expectations—of both leaders and followers” (Burns, 1978, p. 19).

What characteristics are most important in your role? (n=72)

- Ability to Advocate (3)
- Ability to be a Systems Thinker (3)
- Ability to Categorize Needs
- Ability to Delegate (2)
- Ability to Disseminate Information
- Ability to Negotiate
- Ability to Prioritize (2)
- Accountable (2)
- Adaptable
- Analytical (3)
- Approachable (2)
- Articulate (3)
- Attentive
- Balance
- Being a “Cheerleader”
- Being a Good Listener (17)
- Being an Enforcer
- Being Present
- Clarity
- Collaborative (13)
- Communicative (18)
- Compassion
- Confidence
- Consistency (3)
- Contemplative (2)
- Creative (4)
- Credible
- Curious (4)
- Decisive (3)
- Dedicated
- Diligent
- Diplomacy
- Empathy
- Empowering
- Encouraging
- Engaged (2)
- Fair (2)
- Fiscally Savvy
- Flexible (10)
- Focused (6)
- Generous
- Good at Facilitation (3)
- Good at Stress-Management
- Good Problem Solving Skills (4)
- Good Relationship Skills (12)
- Good Team Building Skills (2)
- Good Time-Management Skills (2)
- Honest (4)
- Humble (6)
- Hungry
- Inclusive
- Inspiring
- Integrity
- Kind (2)
- Knowledgeable (12)
- Managerial
- Motivated
- Objective
- Open-Minded (11)
- Optimistic
- Organized
- Passionate (3)
- Patient (7)
- Perceptive
- Persistent (3)
- Persuasive
- Positive (3)
- Realistic
- Receptive
- Reflective
- Relaxed
- Reliable (2)
- Resilient (4)
- Resourceful
- Respectful
- Responsive (4)
- Self-Driven
- Self-Monitoring
- Self-Motivated (2)
- Sense of Humor (4)
- Service Mentality
- Steadfast
- Strategic thinking
- Strong (3)
- Supportive (14)
- Teamwork (3)
- Tolerant
- Transparent (2)
- Trustworthy
- Understanding (5)
- Visionary
- Willing



Leadership Training Content and Methods and Measurable Competencies

A Multi-Tiered Curriculum for Those
Preparing or Supporting
Infants, Young Children with Disabilities and
Families

**State Administrative Staff; IHE Faculty;
and Families**



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Leadership Methodology

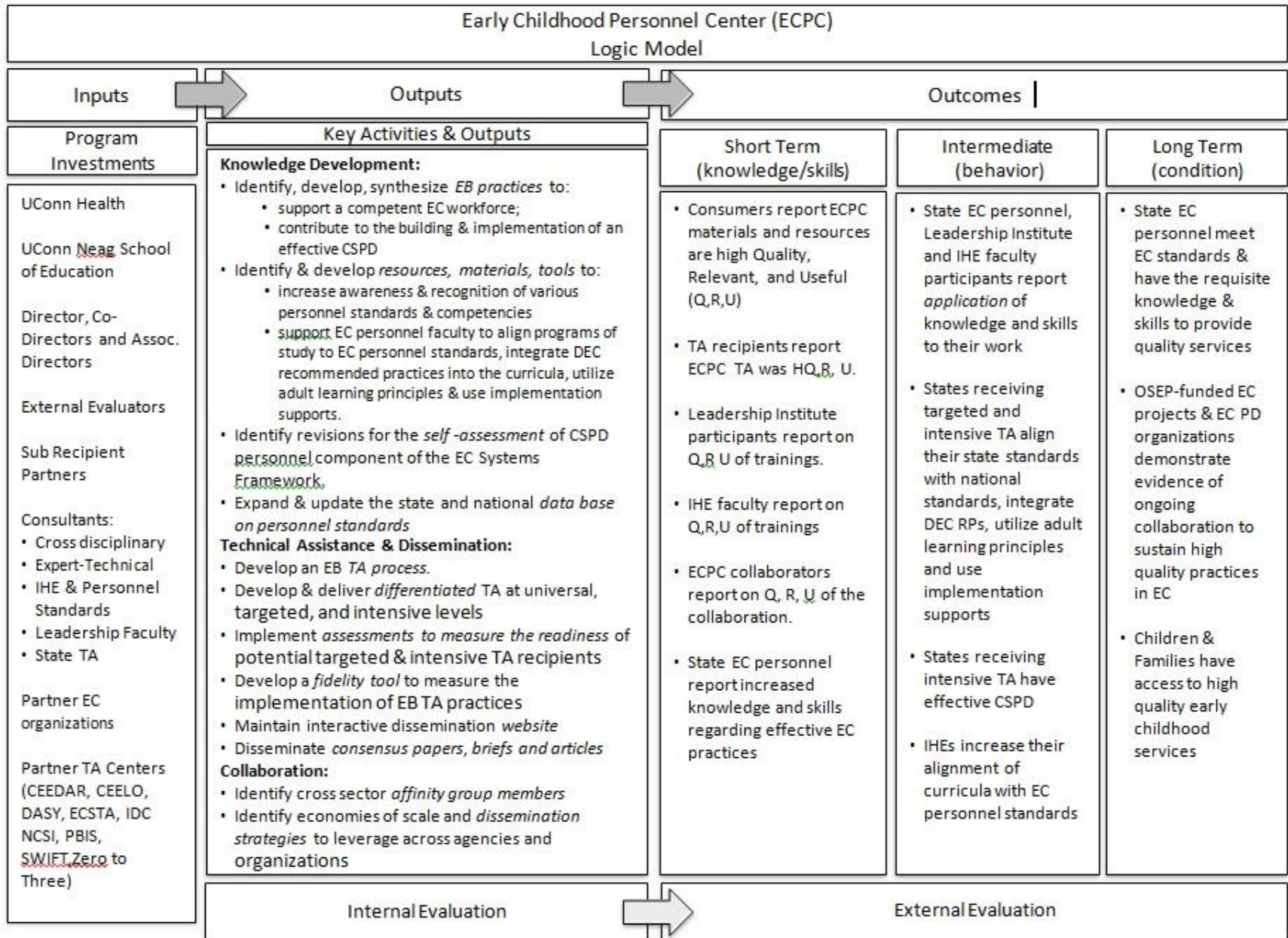
1. Scan the Literature for leadership types
2. Research synthesis as frame
3. Think Tanks Part C/619 (2; N=21 participants)
 - a) Job descriptions/What you do/Need to know
 - b) Refine/reduce into critical knowledge and skills by level
 - c) Translate into competency statements
4. Survey/Delphi for validation/consensus
5. Refined competencies will be sequenced by level
6. Indicators will be developed for each competency
7. Curriculum will be developed with learning activities

Evaluation

Fully implement evaluation activities that are systematically used to identify modifications and highlight successes of CSPD.



Logic Model



What You Can Use:

Everything We Have!

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Outputs of the ECPC 2

- Knowledge Development
- Materials, Resources and Tools
- Technical Assistance
- Leadership and Collaboration
- Management and Evaluation

Available Materials, Resources and Tools

- CEC, DEC & NAEYC Personnel Standards Alignment
- DEC Recommended Practices Alignments
- Cross-Disciplinary Personnel Competencies Alignment
- Data Reports and Literature
- National Database of State Personnel Standards
- E-Learning Modules
- Presentations and Powerpoints

Data Reports

- Data Report 1: National Landscape of Early Childhood Personnel Standards for Professionals Serving Infants and Young Children with Disabilities and Their Families under 619 and Part C of the Individuals with Disabilities Education Act
- Data Report 2: National Landscape of Early Childhood Personnel Standards for Professionals Serving Infants and Young Children with Disabilities and Their Families under 619 and Part C of the Individuals with Disabilities Act
- Data Report 3: The National Status of State CSPD Subcomponents for Part C and Part B(619) Programs
- Data Report 4: The National Landscape of IHE Programs in Early Childhood and Early Childhood Special Education across Degree Level
- The Development of Core Cross Disciplinary Early Childhood Competency Areas
- Personnel Need Assessment
- ECPC Leadership Competency Validation Survey for Part C and Part B (619) Coordinators



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Literature Syntheses/Reviews

- #1 Alignment of State Personnel Standards and Competencies with Curricula at IHEs
- #2 Alignment of Preservice and Inservice Training for Early Childhood Interventionists
- #3 Systems Level Integration to Promote Integrated Early Childhood Professional Development
- #4 Inservice Professional Development: Features Associated with Positive Outcomes
- #5 Relationships Between Leadership Practices and Organizational, Leader and Employee Outcomes
- #6 Metasynthesis of Preservice Professional Preparation and Teacher Education Research Studies
- #7 Scoping Review of the Core Elements of Technical Assistance Models and Framework
- #8 Review of Studies and Evaluations of the Effects of Technical Assistance on Program, Organization, and Systems Change

Specificity and Equity

- Program Features (Intervention Variations)
- Child and Family Characteristics
- Outcomes



Where Are We Going?



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Cross-Disciplinary Work Group

- **Council for Exceptional Children**
- **Division of Early Childhood (DEC) of the Council for Exceptional Children (CEC)**
- **National Association for the Education of Young Children (NAEYC)**
- **American Occupational Therapy Association (AOTA)**
- **American Physical Therapy Association (APTA)**
- **American Speech-Language-Hearing Association (ASHA)**
- **Zero to Three**



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Core Competencies across Disciplines

